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**FEE TRANSMITTAL FOR FY 2009**

(Effective on 10/02/2008. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 0.00**Complete if Known:****Application No.** 10/593,107**Filing Date** October 25, 2007**First Named Inventor** Shu Yuan, et al**Examiner Name** \_\_\_\_\_**Art Unit** \_\_\_\_\_**Attorney Docket No.** 7989P003 **Applicant claims small entity status. See 37 CFR 1.27.****METHOD OF PAYMENT** (check all that apply) Check \_\_\_\_\_  Credit Card \_\_\_\_\_  Money Order \_\_\_\_\_  None \_\_\_\_\_  Other (please identify) \_\_\_\_\_ Deposit Account \_\_\_\_\_Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

 The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Large Entity	Fee	Small Entity	Fee	Fee Description		Fees Paid (\$)
Code	Fee (\$)	Code	Fee (\$)			
1011	330	2011	165	Utility application filing fee	{	1,090/545
1111	540	2111	270	Utility search fee		_____
1311	220	2311	110	Utility examination fee		_____
1012	220	2012	110	Design application filing fee	{	
1112	100	2112	50	Design search fee		460/230
1312	140	2312	70	Design examination fee		_____
1013	220	2013	110	Plant filing fee	{	
1113	330	2113	165	Plant search fee		720/360
1313	170	2313	85	Plant examination fee		_____
1014	330	2014	165	Reissue filing fee	{	
1114	540	2114	270	Reissue search fee		1,520/760
1314	650	2314	325	Reissue examination fee		_____
1005	220	2005	110	Provisional application filing fee		_____

SUBTOTAL (1) \$0.00

## 2. EXCESS CLAIM FEES

### Fee Description

<u>Large Entity</u>	<u>Small Entity</u>
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Fee	Fee	Fee	Fee	<u>Fee Description</u>
Code	(\$)	Code	(\$)	Each claim over 20
1202	52	2202	26	Each independent claim over 3
1201	220	2201	110	Multiple dependent claims, if not paid
1203	390	2203	195	Reissue: each claim over 20 and more than in the original patent
1204	220	2204	110	Reissue: each independent claim more than in the original patent
1205	52	2205	26	

	<u>Extra Claims</u>	<u>Fee</u>	<u>Fees Paid (\$)</u>
<b>Total Claims</b>	<b>– 20 or HP =</b>	<b>X \$ 26.00</b>	<b>= _____</b>
HP = highest number of total claims paid for, if greater than 20			
<b>Independent Claims</b>	<b>– 3 or HP =</b>	<b>X \$110.00</b>	<b>= _____</b>
HP = highest number of independent claims paid for, if greater than 3			
<b>Multiple Dependent Claims</b>		<b>= _____</b>	<b>= _____</b>
<b>SUBTOTAL (2) \$ 0.00</b>			

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	– 100 = _____ / 50 = _____ (round up to whole number)		X \$135.00	_____

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description:</u> Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):
Fee	Fee	<u>Fee Description:</u> Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):
Code	(\$)	
1081	270	2081 135 Utility
1082	270	2082 135 Design
1083	270	2083 135 Plant
1084	270	2084 135 Reissue
1085.....270	2085 135 Provisional	

SUBTOTAL (3)\$ 0.00

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

<u>Large Entity</u>	<u>Small Entity</u>		<u>Fees Paid (\$)</u>
Fee	Fee	Fee	Fee
Code	(\\$)	Code	(\\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1813	8,800	1813	8,800
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	130	2251	65
1252	490	2252	245
1253	1,110	2253	555
1254	1,730	2254	865
1255	2,350	2255	1,175
1401	540	2401	270
1402	540	2402	270
1403	1,080	2403	540
1451	1,510	1451	1,510
1452	540	2452	270
1453	1,620	2453	810
1501	1,510	2501	755
1502	860	2502	430
1503	1,190	2503	595
1462	400	1462	400
1463	200	1463	200
1464	130	1464	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	810	2809	405
1814	140	2814	70
1810	810	2810	405
1801	810	2801	405
1802	900	1802	900
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,410	1454	1,410
			Acceptance of unintentionally delayed claim for priority
Other fee (specify)			
Other fee (specify)			
		SUBTOTAL (4)\$ 0.00	

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Lester J. VincentSignature: Date: January 5, 2009Reg. Number: 31,460Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney Docket No.: 7989P003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
Shu Yuan, et al. ) Examiner: Not yet assigned  
Application No.: 10/593,107 ) Art Unit: 2828  
Filed: October 25, 2007 )  
For: FABRICATION OF SEMICONDUCTOR DEVICES )  
\_\_\_\_\_  
)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

EXPRESS MAIL STATEMENT

"Express Mail" mailing label number: EM 141 755 595 US

Date of Deposit: January 5, 2009

I hereby state that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Meredith Ramirez

(Typed or printed name of person mailing paper or fee)

1/5/09

(Signature of person mailing paper or fee)

(Date Signed)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure

Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

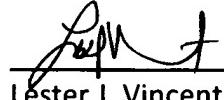
- XXX      37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1)      A statement pursuant to 37.C.F.R. §1.97(e); and
- (2)      A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: January 5, 2004

  
\_\_\_\_\_  
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